



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/922,272	08/03/2001	Scott Matsumoto	Xtremesoft/Patent

CONFIRMATION NO. 1488

## FORMALITIES LETTER

  
\*OC00000006542578\*

24390  
LUCASH, GESMER & UPDEGROVE, LLP  
40 BROAD ST  
SUITE 300  
BOSTON, MA 02109

Date Mailed: 09/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.138(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

01/23/2002 TV0111 00000014 122315 09922272

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*A copy of this notice **MUST** be returned with the reply.*

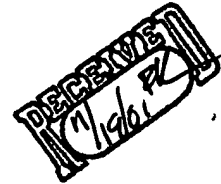
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

(3)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Scott Matsumoto, et al.  
Serial No. 09/922,272  
Filed: August 3, 2001  
Title: Transactional Monitoring System and Method  
Group Art Unit: 2151  
Attorney Docket: Xtremesoft/Patent



Official

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**Certificate of Facsimile Transmission**

I hereby certify that the attached correspondence is being transmitted via facsimile to the Patent and Trademark Office Tech Center 2100, Before Final, Facsimile Telephone Number 703.746.7239, on this day, November 19, 2001.

David Jacobs

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**Response To "Notice to File Missing Parts of Non-Provisional Application"**

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Missing Parts of Provisional Application" (the "Notice") mailed September 17, 2001 (Part 2 copy attached hereto), attached for facsimile filing are:

- 1) A Declaration (37 CFR 1.63) For Utility Application Using An Application Data Sheet (37 CFR 1.76);
- 2) Part 2 Copy of Notice to File Missing Parts of Nonprovisional Application.

**Payment of Surcharge by Deposit Account:** The USPTO is hereby authorized to charge the \$65 declaration surcharge (37 CFR 1.16(e)), and any other required fee, to the Lucash, Gesmer & Updegrave PTO Deposit Account No. 122315.

U.S. Serial N . 09/922,272

If additional information is required, you are invited to immediately contact the undersigned via telephone or facsimile at the numbers listed below. Thank you for your attention to this matter.

Date: November 19, 2001

Respectfully submitted,



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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/922,272	08/03/2001	2151	508	Xtremesoft/Patent	23	12	2

CONFIRMATION NO. 1488

24390

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40 BROAD ST  
SUITE 300  
BOSTON, MA 02109

## FILING RECEIPT



Date Mailed: 09/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Scott Matsumoto, Andover, MA;  
Diane Downie, Nashua, NH;  
Robert Adams, Northampton, MA;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/223,188 08/04/2000

## Foreign Applications

If Required, Foreign Filing License Granted 09/10/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Transactional monitoring system and method

## Preliminary Class

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